

APPENDICES

Accredited operators of railways in NSW	108	Land disposal	115
Charter and aims	109	List of major assets	115
Principal officers (Executive Management Team)	109	Economic or other factors impacting on performance	115
Performance & number of Senior Executive Service Officers	109	Promotion – publications	115
About ITSRR’s Advisory Board	110	Overseas visits	116
Significant committees	112	Payment of accounts	116
Grants to non-government organisations	112	Risk management and insurance activities	116
Principal legislation administered by ITSRR	112	Disclosure of controlled entities	116
Significant judicial decisions	113	Annual Report external costs	116
Legal changes	113	Freedom of information	117
Major works in progress	113	Credit card certification	117
Research and development	113	Response to significant issues raised by the Auditor-General	117
Electronic service delivery	113	Glossary	118
Equal employment opportunity	114	Compliance with annual reporting legislation index	119
Consultants	115	Issues index	120
Action Plan for Women	115		
Disability Plan	115		

Accredited operators of railways in NSW

The following list details the names of all accredited rail operators in NSW during 2006-07.

3801 Limited

Australia Western Railroad Pty Ltd

Australian Rail Track Corporation

Australian Railway Historical Society (ACT Div)

AW Edwards Pty Ltd

Bishop Austrans Pty Ltd

Blue Circle Southern Cement

Blue Scope Steel (AIS) Pty Ltd

Bovis Lend Lease Pty Ltd

Bradken Rail

Campbelltown Steam Museum

Chicago Freight Car Leasing Australia Pty Ltd

Coleman Rail

Cooma-Monaro Railway Inc

Dorrigo Steam Railway & Museum Limited

Downer EDI Works

EDI Rail Pty Ltd

El Zorro Transport Pty Ltd

Fluor Australia Pty Ltd

Freightliner Australia Pty Ltd

Genesee & Wyoming Australia Pty Ltd

Glenreagh Mountain Railway Inc

Goulburn Crookwell Heritage Railway Inc

GrainCorp Operations Ltd

Great South Pacific Express

Great Southern Railway Ltd

Guyra & District Historical Society Machinery Group

Historic Electric Traction

Illawarra Light Railway Museum Society Ltd

Independent Railways of Australia Pty Ltd

Interail Australia Pty Ltd

John Holland Rail Pty Ltd

June Railway Workshop Pty Ltd

Lachlan Valley Railway Society Co-op Ltd

Laing O'Rourke (BMC) Pty Ltd

Manildra Group

Melaleuca Station

Millennium Parklands Railway

Museum of Applied Arts and Sciences

New England Railway Inc

NSW Rail Transport Museum

Oberon Tarana Heritage Railway Inc

OneSteel Manufacturing

Pacific National Pty Ltd

Pacific Rail Engineering Pty Ltd

Patrick Rail Operations Pty Ltd

Perisher Blue Pty Ltd

Queensland Rail

Rail Infrastructure Corporation

Rail Technical Support Group Pty Ltd

Rail Industry Service Providers Holdings Pty Ltd

RailCorp

Richmond Vale Preservation Co-op Society Ltd

Scott Corporation Ltd

SCT Logistics - Twentieth Super Pace Nominees Pty Ltd

South Maitland Railways Pty Ltd

South Spur Rail Services Pty Ltd

Southern & Silverton Railway Pty Ltd

Southern Shorthaul Railroad

Speno Rail Maintenance Australia Pty Ltd

State Mine Heritage Park & Railway

Steam Tram & Rail Preservation (Co-op) Society Ltd

Sydney Tramway Museum

Taylor Rail Track Pty Ltd

The Rail Motor Society Inc

Thiess Hochtief Joint Venture

Timbertown Heritage Steam Railway Pty Ltd

Transfield Services Australia

Transport Infrastructure Development Corporation

United Group Infrastructure Pty Ltd

United Group Rail Fleet Service

United Group Rail Goulburn Railway Workshops

United Group Rail Services Limited

V/Line Passenger Pty Ltd

Veolia Transport - Light Rail

Veolia Transport - Monorail

Zig Zag Railway Co-op Ltd

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Charter and aims

Under the *Transport Administration Act 1988*, the principal objective of ITSRR is to ‘facilitate the safe operation of transport services in the State’.

ITSRR also has the following statutory objectives:

- to exhibit independence, rigour and excellence in carrying out its regulatory and investigative functions
- to promote safety and reliability as fundamental objectives in the delivery of transport services.

Principal officers (Executive Management Team)

ITSRR’s principal officers during 2006-07, comprising its Executive Management Team, and their background details are outlined on p9-10.

Performance & number of Senior Executive Service officers

The following table outlines the number of Senior Executive Service (SES) positions in ITSRR in 2006-07.

Number of SES Officers				
SES Level	Number of SES positions (total) 2005-06	Number of SES positions (total) 2006-07	Number of SES officers (total) 2006-07	Number of female SES Officers 2006-07
1	–	–	–	–
2	1	1	1	1
3	2	2	1	1
4	2	2	1	0
5	1	1	1	0
6	1	1	1	1
TOTAL	7	7	5	3

In 2006-07 ITSRR had two SES officers at Level 5 and above: Carolyn Walsh (Chief Executive); and Mick Quinn (Executive Director Rail Safety Regulation). Their performance achievements are outlined below:

Name: **Carolyn Walsh**
 Title: Chief Executive
 Remuneration: \$253,094 pa
 Level: SES Level 6

Performance Pay: Not applicable

Performance achievements:

Ms Walsh provided leadership for the successful management of each of the statutory functions of the Independent Transport Safety and Reliability Regulator. These include the safety regulation of NSW rail passenger and freight services, strategic coordination of safety regulation across the transport modes of rail, bus and ferry services, and the provision of advice to Government on the reliability of publicly funded transport services.

Major achievements during the year included:

- Public release of a draft consultation *NSW Rail Safety Bill 2007* to give effect to national reforms in rail safety regulation.
- An increase in the ‘close-out’ of recommendations from the Waterfall Inquiry Report from 135 (75%) to 167 (94%).
- Publication of quarterly reports on the implementation of the Government’s Response to the Final Report of the Special Commission of Inquiry into the Waterfall Rail Accident.
- Implementing a coordinated process for ITSRR (as rail regulator), NSW Maritime (as the ferry safety regulator) and the Ministry of Transport (as the bus safety regulator) to monitor and report on the implementation of recommendations arising from independent accident investigations into any rail, bus or ferry accident in NSW.
- Publication of reports on the safety and reliability of transport services, including the *Annual Transport Reliability Report 2005-06*; the *Rail Industry Safety Report 2005-06*; the *Cross Modal Safety Report 2005-06*; the *Survey of CityRail Customers 2006*.

Name: **Mick Quinn**
 Title: Executive Director
 Rail Safety
 Regulation
 Remuneration: \$247,300 pa
 Level: SES Level 5
 Performance Pay: Not applicable

Performance achievements:

Mr Quinn provided leadership for the Rail Safety Regulation Division. Significant achievements during the year were:

- Introduction of a quality assurance programme into the Division and reported quarterly to new defined set of criteria covering:
 - accreditation
 - compliance Activity
 - enforcement Actions
 - annual Safety Report receipt
 - drug and Alcohol testing.
- Conducted an integrated assessment of 17 compliance investigations into a variety of rail incidents/accidents to assess whether they were a result of non-compliance by rail operators with their accreditation requirement.
- Continued implementation of business processes to improve safety incident analysis including the development of a regulatory intelligence program. This program allows ITSRR to examine the relationship between reported incidents and the severity of the outcome and, as a result, target the oversight program accordingly.
- Developed and implemented a program for monitoring, oversight and closure of safety recommendations made by independent investigation authorities (i.e. ATSB, OTSI, Coroner) regarding ITSRR and NSW accredited operators.
- Monitored activities to ensure compliance with statutory requirements of the *Rail Safety Act 2002*.

About ITSRR's Advisory Board

Role and function

The principal functions of the Independent Transport Safety and Reliability Advisory Board are set out in the *Transport Administration Act 1988* and include:

- advising ITSRR and/or making recommendations to ITSRR on safety and reliability
- advising ITSRR on reports prepared by ITSRR and any other matter ITSRR may refer to the Advisory Board
- advising the Minister and or making recommendations on the safe operation of transport services (including safety regulation by transport authorities) and the reliability of publicly funded transport services.

Method and terms of appointment of Advisory Board members

The Board consists of five members:

- a Chairperson appointed by the Governor, on the Minister's recommendation
- three members appointed by the Minister (the appointed members)
- the Chief Executive, ITSRR.

The appointed members must have experience in one or more of the following:

- rail safety management systems
- safety science
- customer service
- accident investigation
- public administration.

The Chairperson must have experience in transport safety management systems (including rail safety management systems) and is usually appointed for a period of five years.

The Minister is to consult with the Chairperson before appointing Board members who can hold office for periods of up to five years. The three appointed members are selected after carefully considering the skills, expertise, international reputation and pre-eminence of a range of nominees, potential conflicts of interest and the extent of any potential conflict.

Meetings

The Board meets monthly, unless additional or extraordinary meetings are considered necessary. During 2006-07 it held 11 meetings. Carolyn Walsh attended all meetings, Dr Rob Lee attended 10 and Rob Schwarzer, Len Neist and Professor Jean Cross attended 9.

Members of the Advisory Board

Members of the Board as at June 2007 were:

Rob Schwarzer *BE(Civil), FIEAust, Churchill Fellow – Chairman*

Rob Schwarzer is GHD's Rail Business Development Manager providing liaison to the Railway Industry. A civil engineer, he has over 40 years experience in the transport industry covering freight and passenger services.

Mr Schwarzer has worked with government-owned rail, bus and ferry services and headed the private sector Sydney Light Rail Company. He has extensive experience in construction, operation, and strategic planning for transport systems in both public and private ownership.

Professor Jean Cross *BSc, PhD, FIEAust, MAIP*

Jean Cross is a Professor in the UNSW's School of Safety Science and is involved in teaching and research in the area of risk management. Professor Cross has a degree and PhD in Physics gained in the UK. She is a Fellow of the Institute of Engineers Australia and a member of the Risk Management Institute of Australasia.

Professor Cross was Chair of the Australian Standards Committee which prepared the Australian/New Zealand Standard No. 4360 *Risk Management* and is a member of the International Standards Committee revising the IEC standard on *Risk analysis of technological systems*. She has participated in the preparation of Australian Standards handbooks on environmental risk management and risk management in outsourcing.

Dr Robert Lee

BA(Hons), PhD, FRAeS, FCILT

Rob Lee has extensive experience in air safety investigation and is regarded as one of the foremost authorities on human factors in safety management. Dr Lee was appointed to the Bureau of Air Safety Investigation as its first human factors specialist and, in 1989, was appointed Director.

Since 2000 Dr Lee has been an international consultant in human factors and systems safety in aviation, rail and other high technology industries. He has been an analyst on three major overseas civil air accident investigations, as well as providing evidence to the military Board of Inquiry into the RAN Sea King accident, 2005. His aviation clients have included Cathay Pacific, Finnair, Emirates, Qantas and CASA. Dr Lee also worked with State Rail in introducing human factors and systems safety concepts to rail operations and training. He was a member of the Expert Panel on Safety Management Systems that provided advice to the Special Commission of Inquiry into the Waterfall Rail Accident.

Dr Lee is a member of the Nuclear Safety Committee of the Australian Radiation Protection and Nuclear Safety Agency and a consultant on aviation psychology, human factors, systems safety and air safety investigation to the Australian Defence Force.

Mr Len Neist *BE (Mech), MSc*

Len Neist is Head of Booz Allen Hamilton's systems assurance team in Australia and New Zealand, with skills in system safety analysis, planning and management, reliability and risk engineering, major hazard analysis, vulnerability analysis, risk analysis and risk management. He joined Booz Allen Hamilton in June 2000 following a distinguished career in the Royal Australian Air Force and has been instrumental in the leadership, establishment and growth of the Defence and Strategic Security business for the company.

Mr Neist has consulted with a range of rail systems including the NSW Special Commission of Inquiry into the Waterfall Rail Accident, Queensland Rail, Australian Rail Track Corporation and Victoria Department of Infrastructure and Department of Transport and Regional Services.

Carolyn Walsh *BEC*

Carolyn Walsh, Chief Executive of ITSRR, is also a member of the Board. Her background details are outlined on p10.



*Rob Schwarzer, ITSRR Advisory Board Chairman
 Professor Jean Cross, Board member
 Dr Rob Lee, Board member
 Len Neist, Board member*

Significant committees

ITSRR has a number of internal and external committees it provides input and leadership to.

ITSRR's internal committees, including details of their functions and activities during 2006-07, are outlined on p61.

ITSRR also facilitates the Transport Regulators Executive Committee (TREC). TREC comprises the chief executives of the three agencies responsible for regulating the safety of public passenger transport; ITSRR, the Ministry of Transport (for buses) and NSW Maritime (for ferries). It is chaired by the Chief Executive of ITSRR.

TREC's role is to act as a mechanism to share information, with the objective of identifying and where applicable, resolving issues that are relevant for all three transport modes. This ensures that each agency understands the commonalities and differences between their approaches to safety regulation.

ITSRR also conducts the Rail Safety Strategic Forum (RSSF), a committee which aims to enhance consultation and communication between ITSRR and industry. The RSSF is chaired by Natalie Pelham, Executive Director Transport Regulation Strategy (ITSRR). Industry representatives cover track owners, freight and heritage operators as well as union representation and senior executives from ITSRR.

ITSRR has provided significant input to national reform in rail through its participation in a number of committees. They include:

Rail Level Crossing Behavioural Coordination Group

- Natalie Pelham, Executive Director Transport Regulation Strategy, ITSRR
- Michael Monaghan, Manager Transport Reform, ITSRR

Rail Safety Consultative Forum

- Natalie Pelham, Executive Director Transport Regulation Strategy, ITSRR
- Mick Quinn, Executive Director Rail Safety Regulation, ITSRR

Rail Safety Regulators Panel (RSRP)

- Natalie Pelham, Executive Director Transport Regulation Strategy, ITSRR
- Mick Quinn, Executive Director Rail Safety Regulation, ITSRR

Standing Committee of Transport (SCOT) (including the Transport Authorities Chief Executives Committee)

- Carolyn Walsh, Chief Executive, ITSRR

SCOT Rail Group – Rail Safety Package Steering Committee

- Carolyn Walsh, Chief Executive, ITSRR (Chair)
- Natalie Pelham, Executive Director Transport Regulation Strategy, ITSRR

SCOT Rail Group

- Carolyn Walsh, Chief Executive, ITSRR

ITSRR representation on NSW-based committees includes:

Sydney Ferries Working Group

- Simon Foster, Executive Director, Service Reliability, ITSRR

NSW Level Crossing Strategy Council

- Carolyn Walsh, Chief Executive, ITSRR

Transport Chief Executive Officers Security Committee

- Carolyn Walsh, Chief Executive, ITSRR

Transport CEOs Cluster Group

- Carolyn Walsh, Chief Executive, ITSRR

Grants to non-government organisations

ITSRR did not make any grants to non-government organisations during 2006-07.

Principal legislation administered by ITSRR

ITSRR exercises functions under the:

- *Rail Safety Act 2002* and Regulations made under that Act
- *Transport Administration Act 1988*
- *Passenger Transport Act 1990*.

Research and development

ITSRR's major research activities during 2006-07 were as follows:

Activity	Type	Status	Description
Bus focus groups	Research	Completed	Aim was to determine attributes of bus service quality that are important to users
Monitoring bus service reliability	Research	Completed	Review of methods used in Australia and internationally for measuring reliability of bus services
Valuing bus service quality	Research	Completed	Review of methods used in Australia and internationally for measuring bus service quality, including methods for developing a service quality index
Stage 1 research on procurement and performance monitoring	Research	Completed	Research on procurement and performance monitoring practices examining KPIs used in commuter rail systems in 21 cities in Asia, Europe, North America and Australasia
Rail infrastructure condition indicators	Research	Completed	Survey of practices and experiences of selected owners and operators of rail infrastructure within Australia and overseas
Stage 2 research on procurement and performance monitoring	Research	Continuing	Detailed review of selected European and Australian commuter rail systems to assess effectiveness of procurement arrangements
CityRail Customer Survey 2007	Research	Continuing	Annual survey
Risk modelling	Development	Continuing	Ongoing development of a precursor risk model for identification of potential rail safety problems
Modelling passenger loads and the impact of changes to the CityRail timetable	Development	Continuing	Will enable ITSRR to provide independent advice on the likely impact of a new timetable on passenger loads and standing times
Geographic Information System (GIS)	Development	Continuing	Assessing the feasibility of using GIS to enhance the assessment of rail safety risk by both visual display and analysis of spatial information

Significant judicial decisions

No judicial decisions in which ITSRR was a party were handed down in the last financial year.

Legal changes

During 2006-07 the following legal changes to rail safety legislation were enacted:

Rail Safety (Drug and Alcohol Testing) Amendment (Samples) Regulation 2006 commenced on 4 August 2006.

Rail Safety (Guidelines for Certification of Competency Amendment) 2006 commenced on 24 November 2006.

Rail Accreditation Annual Fees Determination 2006 was gazetted on 24 November 2006.

Rail Safety (Drug & Alcohol Programs Amendment) Guideline 2006 commenced on 15 December 2006.

Rail Safety (Safety Management Systems) – Heritage Operators (Amendment) Guideline 2006 commenced on 22 December 2006.

Rail Safety (Network Rules) Guideline 2007 commenced on 25 January 2007.

Rail Safety (Guidelines for Certification of Competency Amendment) 2007 commenced on 23 February 2007.

Major works in progress

ITSRR had no major works in progress during 2006-07.

Electronic service delivery

ITSRR provides a public website which includes a range of information, including relevant legislation, policies and publications (including reports, newsletters and media releases).

ITSRR's primary external clients are rail industry operators whom ITSRR accredits under rail safety legislation. ITSRR provides a service so that these operators are able to lodge notifications for changes to their accreditation conditions online.

Equal Employment Opportunity (EEO)

ITSRR's EEO strategies and results are outlined in more detail on p68-69. The following tables reflect ITSRR staff data on EEO.

Staff data by salary range and EEO classification

LEVEL	Total Staff 2005-06	Total Staff 2006-07	Respondents	Men	Women	Aboriginal people & Torres Strait Islanders	People from racial, ethnic, ethno-religious minority groups	People whose language first spoken as a child was not English	People with a disability	People with a disability requiring work-related adjustment
< \$33,910	0	0	0	0	0	0	0	0	0	0
\$33,910 – \$44,537	0	0	0	0	0	0	0	0	0	0
\$44,538 – \$49,791	0	0	0	0	0	0	0	0	0	0
\$49,792 – \$63,006	4	4	4	1	3	0	1	2	0	0
\$63,007 – \$81,478	13	13	13	3	10	0	0	6	1	0
\$81,479 – \$101,849	17	17	17	10	7	0	4	4	0	0
> \$101,849 (non SES)	41	41	41	29	12	0	6	11	3	0
> \$101,849 (SES)	5	5	5	2	3	0	1	2	1	1
TOTAL	80	80	80	45	35	0	12	25	5	1

A. Trends in the representation of EEO groups

EEO Group	2003-04	2004-05	2005-06	2006-07	Benchmark or Target
Women	34%	38%	42%	44%	50%
Aboriginal people and Torres Strait Islanders	n/a	n/a	n/a	n/a	2%
People whose first language was not English	15%	22%	28%	31%	20%
People with a disability	15%	11%	6%	6%	12%
People with a disability requiring work-related adjustment	6%	4%	1%	1%	7%

B. Trends in the distribution of EEO groups

EEO Group	2003-04	2004-05	2005-06	2006-07	Benchmark or Target
Women	91	91	91	91	100
Aboriginal people and Torres Strait Islanders	n/a	n/a	n/a	n/a	100
People whose first language was not English	n/a	n/a	99	96	100
People with a disability	n/a	n/a	n/a	n/a	100
People with a disability requiring work-related adjustment	n/a	n/a	n/a	n/a	100

Notes:

- Staff numbers are as at 30 June 2007.
- Excludes casual staff.
- A Distribution Index of 100 indicates that the centre of the distribution of the EEO group across salary levels is equivalent to that of other staff. Values less than 100 mean that the EEO group tends to be more concentrated at lower salary levels than is the case for other staff. The more pronounced this tendency is, the lower the index will be. In some cases the index may be more than 100, indicating that the EEO group is less concentrated at lower salary levels. The Distribution Index is automatically calculated by the software provided by ODEOPE.
- The Distribution Index is not calculated where EEO group or non-EEO group numbers are less than 20.

Consultants

ITSRR did not employ any consultants during 2006-07.

Action Plan for Women

ITSRR is not required to prepare or report on an action plan for women.

Disability Plan

ITSRR is not required to develop or report on a disability plan.

Land disposal

ITSRR does not have any land to dispose of.

List of major assets

ITSRR has no major assets to report against

Economic or other factors impacting on performance

Major factors impacting on ITSRR's performance were considered as part of its risk and opportunity assessment process (see p61).

Promotion – publications

During 2006-07 ITSRR published the following documents which can be accessed via ITSRR's website:

Fact sheets

ITSRR updated a range of fact sheets during the year and published three new fact sheets on accreditation fees; competency; and private sidings.

Reports

- *Annual Report 2005-06*
- *Rail Industry Safety Report 2005-06*
- *Annual Transport Reliability Report 2005-06*
- *Cross-modal Transport Safety Report 2005-06*
- *Survey of CityRail Customers 2006*
- *ITSRR Quarterly Waterfall Report March – June 2006*
- *ITSRR Quarterly Waterfall Report July – September 2006*
- *ITSRR Quarterly Waterfall Report October – December 2006*
- *ITSRR Quarterly Waterfall Report January – March 2007*

Newsletters

- 3 editions of *The Regulator* industry newsletter
- 48 editions of *Transport Advisory Weekly* newsletters during the year.

Information Alerts

- Implementation of Nationally Consistent Rail Safety Legislation – June 2007
- Information Alert – SMS Guidance Material for Tourist and Heritage Railway Operators – May 2007
- Industry invited to comment on new National Guidelines – March 2007
- Industry invited to comment on *NSW Rail Safety Bill 2007* – February 2007
- Amendment of the Guidelines for Certification of Competency – February 2007
- Drug and Alcohol Testing Officer Training Workshops (19 February and 5 March 2007) – February 2007
- Network Rules Guideline – January 2007
- Application of SMS Guideline to Heritage Rail Operators – December 2006
- Extension of Deadline for Training Drug and Alcohol Testing Officers Authorised by Accredited Railway Operators – December 2006
- Amendment of the Guidelines for Certification of Competency – December 2006
- Drug and Alcohol Testing Officer Model Training Course – November 2006
- Accreditation Fees 2006/07 – November 2006
- Train Radio Communication Systems – September 2006
- Proposed changes to NSW Rail Operator Drug and Alcohol (D & A) testing requirements – August 2006
- New NSW Rail Safety Regulations and Guidelines – July 2006

Rail Industry Safety Notices

- RISN 18 – Potential Defect in CQMY Wagon Brake Equipment – May 2007
- RISN 17 – Warning of Potential Locomotive Bonded Asbestos Material – April 2007
- RISN 16 – Warning of Potential Asbestos Material in Bogie Side Bearer Wear Pads – March 2007
- RISN 15 – Moving Plant Within Rail Maintenance and Construction Sites – March 2007
- RISN 14 – Potential Defect in R7E3S ('China') Wheelsets – November 2006
- RISN 13 – Accredited Railway Operators' Obligations to ensure that their Railway Employees are Competent – November 2006
- RISN 12 – Being Within the Rollingstock Outline – August 2006
- RISN 11 – General Public Entering the Rail Corridor and Danger Zone – July 2006

Guidelines

- *Guidelines relating to Network Rules – January 2007*
- *Accreditation Policy for Heritage Rail Operators – December 2006*

Overseas visits

Officer	Date	Destination	Purpose of visit
Alan Lidbetter	September 2006	Washington and New York, USA	Review international best practice security in North America
Paul Harris	November December 2006	Wellington, New Zealand	The Australian and New Zealand School of Government – Master of Public Administration
Mick Quinn and Natalie Pelham	February 2007	Dunedin, New Zealand	Attend the Rail Safety Regulators Panel meeting
Simon Meiers	June 2007	London, England	Training course for ARIUM Dependence Modelling

Payment of accounts

Aged analysis at the end of each quarter

Quarter	Current (within due date)	Less than 30 days overdue	Between 30 and 60 days overdue	Between 60 and 90 days overdue	More than 90 days overdue
	\$'000	\$'000	\$'000	\$'000	\$'000
September	188	–	–	–	–
December	381	–	–	–	–
March	0	–	–	–	–
June	76	–	–	–	–

For 2006-07 there were no significant events which affected the payment performance.

During the reporting period there were no instances where ITSRR paid interest due to late payments.

Increased use of electronic processing of accounts is expected to improve accounts processing performance.

Risk management and insurance activities

ITSRR undertook an extensive corporate risk management process during the year as part of its corporate planning cycle. For more information, see p61.

ITSRR has maintained accounts with the Treasury Management Fund for all insurance.

Disclosure of controlled entities

ITSRR does not have any controlled entities to report against.

Annual Report external costs

ITSRR used an external designer for the report, given that this was assessed as the best use of internal resources.

The cost of printing the report (200 copies) and CDs (80) was approximately \$25,500 (including GST).

Time for payment of accounts

Accounts paid on time within each quarter

	Total accounts paid on time			Total amount paid
	Target %	Actual %	\$'000	\$'000
September	100	93.4	3,919	4,197
December	100	97.6	3,780	3,873
March	100	96.0	3,426	3,571
June	100	96.6	4,553	4,715
				\$16,356

Freedom of Information

The following tables are in accordance with the requirements of the Department of Premier and Cabinet. ITSRR received no applications for information during 2006-07. There was one application in 2005-06.

‘Personal’ refers to matters of private concern to an individual.

‘Other’ refers to all other types of requests.

Ministerial Certificates

There were no Ministerial Certificates issued in either 2005-06 or 2006-07.

Formal consultations

There was one request requiring formal consultation in 2005-06 and no requests requiring formal consultation in 2006-07.

Amendment to personal records

There were no requests to amend personal records in either 2005-06 or 2006-07.

Notation of personal records

There were no requests for notation of personal records.

Credit card certification

The Chief Executive has certified that the use of credit cards was in accordance with Premier’s Memorandum and Treasurer’s Directions.

Response to significant issues raised by the Auditor-General

No issues for ITSRR action were raised by the Auditor-General during 2006-07.

Freedom of Information (FOI) requests

FOI Requests	Personal		Other		Total	
	2005-06	2006-07	2005-06	2006-07	2005-06	2006-07
New	0	0	1	0	1	0
Brought Forward	0	0	0	0	0	0
Total to be processed	0	0	0	0	0	0
Completed	0	0	1	0	1	0
Transferred Out	0	0	0	0	0	0
Withdrawn	0	0	0	0	0	0
Total Processed	0	0	1	0	1	0
Unfinished	0	0	0	0	0	0

Result of FOI Requests	Personal		Other		Total	
	2005-06	2006-07	2005-06	2006-07	2005-06	2006-07
Granted in full	0	0	0	0	0	0
Granted in part	0	0	0	0	1	0
Refused	0	0	0	0	0	0
Deferred	0	0	0	0	0	0
Completed	0	0	0	0	1	0

GLOSSARY

The following glossary includes reference to ITSRR-specific terms, national bodies and rail-related terms used in this Annual Report.

ITSRR-specific terms

CMS is the Corporate Management System, ITSRR's business and quality management system.

EMT refers to the Executive Management Team of ITSRR comprising the Chief Executive and four divisional directors.

OTSI is the Office of Transport Safety Investigations. It conducts independent investigations of transport accidents in NSW.

SMS refers to a safety management system, the central framework rail operators use to manage safety risks.

A safety management system is a structured way of administering the control measures (e.g. engineering and technical standards and procedures) necessary to manage or control the risks arising from an operator's rail operations. Criteria for these systems are outlined in the Safety Management Systems Guideline issued under the *Rail Safety Act 2002*.

TREC is the Transport Regulators Executive Committee comprising the Chief Executives or nominees of ITSRR, the Ministry of Transport and NSW Maritime.

Waterfall Inquiry refers to the Special Commission of Inquiry into the Waterfall Rail Accident.

National rail-related organisations and/or committees

Australasian Railway Association (ARA) is a member-based association representing the interests of the rail sector in Australia and New Zealand.

Australian Transport Council (ATC) comprises the Transport Ministers of each State, Territory and the Commonwealth and is responsible for coordinating the development of national transport reforms.

Australian Transport Safety Bureau (ATSB) is an operationally independent body within the Australian Government Department of Transport and Regional Services and is Australia's prime agency for transport safety investigations.

Council of Australian Government (COAG) comprises the Prime Minister, State Premiers, Territory Chief Ministers and the President of the Australian Local Government Association. Its role is to initiate, develop and monitor the implementation of policy reforms of national significance.

National Transport Commission (NTC) comprises five members and a CEO. It provides advice and recommendations on transport reforms to Ministers at the Australian Transport Council (ATC). Transport Ministers are required to vote on NTC reforms and if approved by a majority, all Governments are required to implement the reforms.

Rail Safety Regulators Panel (RSRP) comprises rail regulators from each jurisdiction. It discusses rail regulatory issues of national significance and co-ordinates a consistent national approach to the administration of rail safety regulation.

Standing Committee of Transport Chief Executives (SCOT) comprises Chief Executives of transport agencies from each State, Territory and the Commonwealth. It facilitates the deliberations of the Australian Transport Council and assists to co-ordinate and develop national transport reforms.

Rail-related terms

A **running line** refers to all railway tracks (other than sidings) that are used for the through movement of trains inclusive of mainlines, isolated lines, crossing loops and shunting yards.

The **mainline** is the line normally used for running trains.

The term **heavy rail** is typically used for regular railway operations on the mainline, while **light rail** uses less massive equipment and infrastructure than heavy rail, with vehicles which run on rails on a highway, road or easement specifically designated for that use.

Passenger operations carry passengers on commercial or heritage services, while freight operations carry goods (including dangerous goods).

Sidings are portions of railway track connected to a running line or another siding on which rolling stock can be placed clear of the running line and stabled. A **private siding** is owned and maintained by a person who does not own, control or manage the running line with which the siding connects or to which it has access.

Rolling stock means any vehicle that operates on or uses a railway track, excluding road/rail vehicles, which are designed for both uses. A train means one unit of rolling stock or two or more units coupled together, at least one of which is a locomotive or other self-propelled unit.

INDEXES

COMPLIANCE WITH ANNUAL REPORTING LEGISLATION INDEX

Accounts payable performance	116	Goals/Results	1
Aims and objectives	1	Grants to non-government organisations	112
Annual Report external costs	116	Human resources	114
Budgets	see Financials	Land disposal	115
Changes to Acts and subordinate Legislation	113	Letter of submission	Inside front cover
Charter and aims	109	Legal changes	113
Chief Executive’s Report	4	Management and structure	22
Consultants	115	Occupational Health and Safety	68
Consumer response	66	Payment of accounts	116
Contact details	Inside back cover	Performance and number of Senior Executive Service officers	107
Credit card certification	117	Principal officers	9
Disability plan	115	Privacy Management Plan	68
Disclosure of controlled entities	116	Promotion – publications	115
Economic or other factors	115	Research and development	113
Electronic service delivery	113	Risk management and insurance	61, 116
Energy management	74	Significant committees	112
Equal Employment Opportunity	68, 114	Summary review of operations	18-19
Ethnic Affairs Priority Statement	69	Waste management	74
Exemptions	n/a		
Financial statements of the ITSRR	75		
Financial Statements of the ITSRR Division	97		
Freedom of information	117		

ISSUES INDEX

Accreditation of rail operators	30, 39
Annual Safety Reports	39, 46
Audit and inspections of rail operators	39-40
Automatic Train Protection technology	34
<i>Corporate Plan 2006-07</i>	18-19
Corporate governance in ITSRR	59
Drug and alcohol:	
○ testing	41-42
○ regulatory amendments	30
Emergency evacuation management	35
Fatigue management	29
Incident response	40
Independent investigation report monitoring	36
Infrastructure condition	28
National rail safety legislative reforms	31-32
Results logic framework for ITSRR	15
Reliability reports and advice	53-58
Risk management in ITSRR	61
Safety data and research	44
Safety worker competency	31
Safety culture	43
Safety Management Systems	30
Strategic coordination of safety regulation	48-52
Stakeholders	8
Survey of CityRail customers	55
Train radio communication systems	31
Transport Regulators Executive Committee	50
Waterfall Accident Inquiry	34-35